

South West Pinnacle

ISO 9001 : 2015 Certified Company

South West Pinnacle Exploration Limited

(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

Regd. & Corp. Office:

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) : + 91 124 4235400/01/03

(F) : + 91 124 4235402

(E) : info@southwestpinnacle.com

(W): www.southwestpinnacle.com

Date: 21/08/2019

To,
The Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051

Scrip Code: SOUTHWEST

Subject- Intimation regarding 13th Annual General Meeting, Book Closure & E-Voting.

Dear Sir/Madam,

With reference to the above captioned subject and pursuant to Regulation 30 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015 read with Schedule III Part A Para A (12), we wish to inform you that the 13th Annual General Meeting of the Company is scheduled to be held on Saturday, 14th September, 2019 at 03:00 P.M. at The Palms Town & Country Club B-Block, Sushant Lok, Phase-1 Gurugram, Haryana 122001.

Further Pursuant to Sec 91 of the Companies Act 2013 and as per Regulation 42 of the SEBI (Listing Obligation and Disclosure Requirements Regulations, 2015, the Register of Members and Share Transfer Books of the Company will remain closed from Saturday, 07th September, 2019 to Saturday, 14th September, 2019 (both days inclusive) for the purpose of 13th Annual General Meeting of the company and payment of dividend of Rs. 0.50 per Equity shares of Rs. 10 each for March 31, 2019 subject to the approval of members in 13th Annual General Meeting for FY 2018-19.

The Company pursuant to Section 108 of the Companies Act 2013 read with Rule 20 of Companies (Management and Administration) Rules 2014 and as per Regulation 44 (2) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, has provided electronic voting (e-voting) facility to the members through electronic voting platform of National Securities Depository Limited (NSDL). Members holding shares either in physical or in demat mode as on the cut-off date i.e., Saturday, 07th September, 2019 may cast their votes electronically on the businesses set out in the Notice of Annual General Meeting. The e-voting shall commence from Wednesday, 11th September, 2019 at 9:00 a.m. and ends on Friday, 13th September, 2019 at 5:00 p.m.

You are kindly requested to take the same on record.

Thanking you,

For South West Pinnacle Exploration Limited

Vaishali
Vaishali

Company Secretary and Compliance Officer



NOTICE OF ANNUAL GENERAL MEETING

Notice is hereby given that the **13th ANNUAL GENERAL MEETING** of South West Pinnacle Exploration Limited will be held on Saturday, the 14th September, 2019 at 03:00 P.M. at 'The Palms Town & Country Club B-Block, Sushant Lok, Phase-1 Gurugram, Haryana 122001' to transact the following business(es):

ORDINARY BUSINESS:

1. To consider and adopt:-
 - a. the Audited Financial Statements of the Company for the financial year ended 31st March, 2019 and the reports of the Board of Directors and Auditors thereon; and in this regard, pass the following resolution(s) as **Ordinary Resolutions**:
“RESOLVED THAT the Audited Financial Statements of the Company for the Financial Year ended March 31, 2019 and the report of the Board of Directors and the Auditors thereon laid before this meeting, be and are hereby considered and adopted.”
 - b. the Audited Consolidated Financial Statements of the Company for the financial year ended 31st March, 2019 and the report of Auditors thereon and in this regard, pass the following resolution(s) as **Ordinary Resolutions**:
“RESOLVED THAT the Audited Consolidated Financial Statements of the Company for the Financial Year ended March 31, 2019 and the report of the Auditors thereon laid before this meeting, be and are hereby considered and adopted.”
2. To declare a Dividend for the financial year ended March 31, 2019 to non promoter shareholders of Rs. 0.50 per Equity Share of Rs. 10/- each, fully paid-up.
3. **Appointment of Statutory Auditors & Fixing Their Remuneration**
 To consider and, if thought fit, to pass with or without modification(s), the following Resolution as an **Ordinary Resolution**:
“RESOLVED THAT pursuant to provision Section 139, 142 and other applicable provisions, if any, of the Companies Act 2013 and rules framed there under, as amended from time to time, and pursuant to the recommendations by Audit Committee, M/s Doogar & Associates Chartered Accountants, (FRN:000561N) be and is hereby appointed as Statutory Auditors of the company in place of retiring Auditor M/s Pradeep Kumar Goyal & Associates Chartered Accountants, (Firm Registration No. 031270N) to hold office from conclusion of this Annual General Meeting to the conclusion of 18th Annual General Meeting (AGM) of the company, as applicable at such remuneration as may be mutually agreed between the Board of Directors of the company and the auditors”.
4. **Appointment of Mr. Roger James Lord as Director of the Company**
 To appoint a director in place of Mr. Roger James Lord (DIN:00952295), who retires by rotation and being eligible, offers himself for re-appointment and in this regard, if thought fit, pass the following resolution as an ordinary resolution:
“RESOLVED THAT Mr. Roger James Lord (DIN:00952295), who retires by rotation and being eligible has offered himself for re-appointment, be and is hereby re-appointed as Director, liable to retire by rotation.”The brief profile of Mr. Roger James Lord is attached as **Annexure-A**

SPECIAL BUSINESS

5. Increase in Authorised Share Capital of the Company and Consequent Alteration in the Memorandum of Association of the Company:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

“RESOLVED THAT pursuant to the provisions of Section 13, 61, 64 and other applicable provisions, if any, of the Companies Act, 2013 read with Companies (Share Capital and Debentures) Rules, 2014 (including any statutory modifications or re-enactment thereof for the time being in force), and in accordance with the provisions of the Memorandum and Articles of Association, consent of the Members of the Company be and is hereby accorded to increase the Authorised Share Capital of the Company from Rs. 28,00,00,000 (Rupees Twenty Eight Crores only) divided into 2,80,00,000 (Two Crore and Eighty Lakhs) Equity Shares of face value of Rs.10/- each (Rupees Ten only) to Rs. 31,00,00,000 (Rupees Thirty One Crores only) divided into 3,10,00,000 (Three Crore and Ten Lakhs) Equity Shares of Rs.10/- each (Rupees Ten Only) by creation of additional 30,00,000 (Thirty Lakhs Only) Equity Shares of Rs.10/- each (Rupees Ten Only) ranking pari-passu in all respect with the existing Equity Shares of the Company.

RESOLVED FURTHER THAT the existing Clause V of the Memorandum of Association of the Company be and is hereby substituted as follows:

- V. “The Authorised Share Capital of the Company is Rs. 31,00,00,000/- (Rupees Thirty One Crores only) divided into 3,10,00,000 (Three Crore and Ten Lakhs) Equity Shares of face value of Rs. 10/- (Rupees Ten Only) each.”

RESOLVED FURTHER THAT any Director and/or Company Secretary and/or Chief Financial Officer of the Company be and are hereby authorised jointly and/or severally to do all such acts, deeds, matters and things including but not limited to filing of necessary forms/documents with appropriate authorities and to execute all such documents, instruments in writing as may be deemed necessary and/or expedient to give effect to this resolution.”

6. Appointment of Mr. Rajendra Prasad Ritolia as an Independent Director of the Company

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

“RESOLVED THAT Mr. Rajendra Prasad Ritolia (DIN 00119488), who was appointed by the Board of Directors as an Additional Director of the Company with effect from March 31, 2019 and who holds office up to the date of this Annual General Meeting of the Company in terms of Section 161(1) of the Companies Act, 2013 (“Act”) and Articles of Association of the Company but who is eligible for appointment and board of directors of the company under Section 160(1) of the Act proposing his candidature for the office of Director of the Company, be and is hereby appointed as Director of the Company.”

“RESOLVED FURTHER THAT pursuant to the provisions of Sections 149, 152 and other applicable provisions, if any, of the Act, the Companies (Appointment and Qualifications of Directors) Rules, 2014, read with Schedule IV to the Act and Regulation 17 and other applicable regulations of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”), as amended from time to time, the appointment of Mr. Rajendra Prasad Ritolia (DIN 00119488), who meets the criteria for independence as provided in Section 149(6) of the Act along with the rules framed thereunder, and Regulation 16(1)(b) of SEBI Listing Regulations and who has submitted a declaration to that effect, and who is eligible for appointment as an Independent Director of the Company, not liable to retire by rotation, for a term of two years commencing March 31, 2019 to March 31, 2021, be and is hereby approved.”

7. Approval for Service of Documents Pursuant to Section 20 of The Companies Act, 2013

To consider and, if thought fit, to pass with or without modification(s), the following Resolution as an **Ordinary Resolution**:

“**RESOLVED THAT** pursuant to the provisions of Section 20 (2) and all other applicable provisions of the Companies Act, 2013 read with the Rules framed thereunder (including any statutory modification(s) or reenactment(s) thereof for the time being in force), whereby, a document may be served to any member by the Company by registered post, by speed post, by courier, by electronic mode, or by other mode as may be prescribed, consent of the members be and is hereby accorded to the Board of Directors to charge/recover from the member such fees equivalent to actual expenses of delivery of the documents delivered through registered post or speed post or by courier service or by such other mode of delivery of documents pursuant to any request by the shareholder for delivery of documents, through a particular mode of service mentioned herein above.

RESOLVED FURTHER THAT the Board of Directors of the Company be and are hereby severally authorized to do all such acts, deeds, matters and things as may be necessary, proper or desirable to give effect to this resolution”

By order of the Board
For South West Pinnacle Exploration Limited

Sd/-
Vikas Jain
Chairman & Managing Director
DIN: 00049217

Place : Gurugram

Date: 14th August, 2019

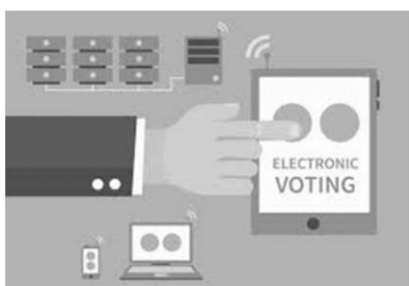
NOTES:

1. The Register of members and Share Transfer Books of the Company will remain closed from 07/09/2019 to 14/09/2019 (both days inclusive) for Annual General Meeting.
2. **A MEMBER ENTITLED TO ATTEND AND VOTE AT THE ANNUAL GENERAL MEETING IS ALSO ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE, INSTEAD OF HIMSELF/HERSELF AND THE PROXY NEED NOT BE A MEMBER OF THE COMPANY. THE INSTRUMENT APPOINTING PROXY SHOULD HOWEVER BE DEPOSITED AT REGISTERED OFFICE OF THE COMPANY NOT LESS THAN 48 HOURS BEFORE COMMENCEMENT OF THE MEETING.**
3. During the period beginning 24 hours before the time fixed for the commencement of the meeting till the conclusion of the meeting, member would be entitled to inspect the proxies lodged, at any time during the business hours of the Company, provided not less than 3 days written notice is given to the Company. A person can act a Proxy on behalf of Members not exceeding fifty in numbers and holding in the aggregate not more than ten percent of the total share capital of the Company carrying Voting Rights. A member holding more than ten percent of the total share capital of the Company carrying Voting Rights may appoint a single person as Proxy for his/her entire shareholding and such person shall not act as a Proxy for another person or shareholder.
4. Pursuant to section 149 of Companies Act, 2013 read with section 152(6) of the Act, the provisions of retirement by rotation are not applicable to Independent Directors. Accordingly, **Mr. Roger James Lord, Non-Executive Director** will retire at the ensuing annual general meeting and being eligible offers himself for re-appointment.
5. In terms of The SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 details of directors retiring by rotation and appointment at the ensuing annual general meeting is given in **Annexure-A** to this notice.
6. Corporate members intending to send their authorized representatives to attend the meeting are requested to send a certified copy of the Board Resolution to the Company, authorizing their representative to attend and vote on their behalf at the meeting.
7. To ensure correct identity of each member and proxy holders attending meeting is expected to bring with him/her an appropriate ID document like Driving License, Passport, Voter ID card, etc.
8. The Members/Proxies/Representatives are requested to bring the attendance slip duly completed and signed at the entrance of the meeting.
9. Shareholders are requested to bring their copies of Annual Report to the Annual General Meeting
10. Members who are holding shares in Physical form are requested to intimate any change in their address immediately to the Company's Registrar and Share Transfer Agent, **Link Intime India Private Limited**, Noble Heights, 1st Floor, Plot NH 2, C-1 Block LSC, Near Savitri Market, Janakpuri, New Delhi - 110058, quoting their folio no. Further, please note that in case of members holding shares in demat form, any change(s) required in Address, Bank details, etc. are to be intimated to your DP (Depository Participant) and not to the Company or Registrar.

In terms of circular issued by the Securities and Exchange Board of India (SEBI), it is now mandatory to furnish a copy of Pan Card to the Company or its RTA in the following cases viz. transfer of shares, deletion of name, and transmission of share and transposition of shares. Shareholders are requested to furnish copy of Pan Card for all abovementioned transactions.

12. Members having any questions on accounts are requested to send their queries at least 10 days in advance to the Company at its registered office address to enable the Company to collect relevant information.
13. Pursuant to Section 72 of the Companies Act, 2013 read with Rule 19 of the Companies (Share Capital and Debentures) Rules, 2014, Members/Beneficial Owners are entitled to make nomination in respect of Shares held by them in Form No. SH-13. Shareholders holding shares in single name and physical form are advised to make nomination in respect of their holding in the Company by submitting duly completed Form No SH-13 with the Company and to their respective Depository Participant in case of shares held in electronic form. Joint Holders can also use nomination facility for shares held by them.
14. Members holding shares in multiple folios in identical names or joint holding in the same order of names are requested to send the share certificates to Registrar and Transfer Agents, M/s. Link Intime India Pvt. Ltd. for consolidation into a single folio. Members holding shares in Dematerialized form are also requested to consolidate their shareholding.
15. Non-Resident Indian Members are requested to inform Registrar and Transfer Agents: (a.) Change in their local address in India for correspondence and e-mail ID for sending all e-communications. (b.) Change in their residential status on return to India for permanent settlement. c. Particulars of their bank account maintained in India with complete name, branch, account type, account number, IFSC Code, MICR No. and address of the bank, if not furnished earlier, to enable Company to remit dividend to the said Bank Account directly.
16. To support the 'Green Initiative', the Members who have not registered their e-mail addresses are requested to register the same with Link in time India Pvt Ltd., Registrar & Share Transfer Agent / NSDL (National Securities Depositories Ltd.) and CDSL (Central Depositories and Services Ltd.)
17. Relevant documents referred to in the accompanying notice are open for inspection by the members at the Registered Office of the Company on all working days i.e. Monday to Friday, between 10:30 a.m. and 12:30 p.m. upto the date of the Annual General Meeting.
18. The Route Map for Venue of 13th Annual General Meeting is given separately.
19. In compliance with the provisions of Section 108 of the Companies Act, 2013 and the Rules framed thereunder and the Regulation 44 of SEBI (Listing Obligation & Disclosure Requirement,) Regulations, 2015, the Members are provided with the facility to cast their vote electronically, through the e-voting services provided by NSDL, on all the resolutions set forth in this Notice. In order to enable its Members, who do not have the access to e-voting facility to send their assent or dissent in writing in respect of the resolutions as set out in this Notice, the Company is enclosing a Ballot Form with the Notice. Instructions for Ballot Form are given at the back of the said form and instructions for e-voting are given here in below. Resolution(s) passed by Members through Ballot Forms or e-voting is / are deemed to have been passed as if they have been passed at the AGM.

VOTING THROUGH ELECTRONIC MEANS:



In compliance with provisions of Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014, as amendment from time to time the Company is pleased to provide members facility to exercise their right to vote at the Annual General Meeting (AGM) by electronic means and the business may be transacted through e-Voting Services provided by National Securities Depository Limited (NSDL).

EVEN (E Voting Event Number) USER ID PASSWORD/PIN

- (i) The Notice of the Annual General Meeting (AGM) of the Company inter alia indicating the process and manner of e-Voting process along with printed Attendance Slip and Proxy Form can be downloaded from the link <https://www.e-voting.nsdl.com> or (<http://www.southwestpinnacle.com>).
- (ii) NSDL shall also be sending the User-ID and Password; to those members whose shareholding is in the dematerialized format and whose email addresses are registered with the Company/Depository Participants(s). For members who have not registered their email address, can use the details as provided above.

How to Log-into NSDL e-Voting website?

1. Visit the e-Voting website of NSDL. Open web browser by typing the following URL: <https://www.evoting.nsdl.com/> either on a Personal Computer or on a mobile.
2. Once the home page of e-Voting system is launched, click on the icon “Login” which is available under 'Shareholders' section.
3. A new screen will open. You will have to enter your User ID, your Password and a Verification Code as shown on the screen.

Alternatively, if you are registered for NSDL E-services i.e. IDEAS, you can log-in at <https://eservices.nsdl.com/> with your existing IDEAS login. Once you log-in to NSDL eservices after using your log-in credentials, click on e-Voting and you can proceed to Step 2 i.e. Cast your vote electronically.

4. Your User ID details are given below :

Manner of holding shares i.e. Demat (NSDL or CDSL) or Physical	Your User ID is:
a) For Members who hold shares in demat account with NSDL.	8 Character DP ID followed by 8 Digit Client ID For example if your DP ID is IN300*** and Client ID is 12***** then your user ID is IN300***12*****.
b) For Members who holdshares in demat account with CDSL.	16 Digit Beneficiary ID For example if your Beneficiary ID is 12***** then your user ID is 12*****
c) For Members holding shares in Physical Form.	EVEN Number followed by Folio Number registered with the company For example if folio number is 001*** and EVEN is 101456 then user ID is 101456001***

5. Your password details are given below:
 - a) If you are already registered for e-Voting, then you can user your existing password to login and cast your vote.
 - b) If you are using NSDL e-Voting system for the first time, you will need to retrieve the 'initial password' which was communicated to you. Once you retrieve your 'initial password', you need to enter the 'initial password' and the system will force you to change your password.

- c) How to retrieve your 'initial password'?
 - (i) If your email ID is registered in your demat account or with the company, your 'initial password' is communicated to you on your email ID. Trace the email sent to you from NSDL from your mailbox. Open the email and open the attachment i.e. a .pdf file. Open the .pdf file. The password to open the .pdf file is your 8 digit client ID for NSDL account, last 8 digits of client ID for CDSL account or folio number for shares held in physical form. The .pdf file contains your 'User ID' and your 'initial password'.
 - (ii) If your email ID is not registered, your 'initial password' is communicated to you on your postal address.
6. If you are unable to retrieve or have not received the “ Initial password” or have forgotten your password:
 - a) Click on “**Forgot User Details/Password?**”(If you are holding shares in your demat account with NSDL or CDSL) option available on www.evoting.nsdl.com.
 - b) **Physical User Reset Password?** (If you are holding shares in physical mode) option available on www.evoting.nsdl.com.
 - c) If you are still unable to get the password by aforesaid two options, you can send a request at evoting@nsdl.co.in mentioning your demat account number/ folio number, your PAN, your name and your registered address. Members can also use the OTP (One Time Password) based login for casting the votes on the e-Voting system of NSDL.
7. After entering your password, tick on Agree to “Terms and Conditions” by selecting on the check box.
8. Now, you will have to click on “Login” button.
9. After you click on the “Login” button, Home page of e-Voting will open.

Details on Step 2 is given below:

How to cast your vote electronically on NSDL e-Voting system?

1. After successful login at Step 1, you will be able to see the Home page of e-Voting. Click on e-Voting. Then, click on Active Voting Cycles.
2. After click on Active Voting Cycles, you will be able to see all the companies “EVEN” in which you are holding shares and whose voting cycle is in active status.
3. Select “EVEN” of company for which you wish to cast your vote.
4. Now you are ready for e-Voting as the Voting page opens.
5. Cast your vote by selecting appropriate options i.e. assent or dissent, verify/modify the number of shares for which you wish to cast your vote and click on “Submit” and also “Confirm” when prompted.
6. Upon confirmation, the message “Vote cast successfully” will be displayed.
7. You can also take the printout of the votes cast by you by clicking on the print option on the confirmation page.
8. Once you confirm your vote on the resolution, you will not be allowed to modify your vote.

General Guidelines for shareholders

1. Institutional shareholders (i.e. other than individuals, HUF, NRI etc.) are required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/ Authority letter etc. with attested specimen signature of the duly authorized signatory(ies) who are authorized to vote, to the Scrutinizer by e-mail to kksandassociates@gmail.com with a copy marked to evoting@nsdl.co.in.
2. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential. Login to the e-voting website will be disabled upon five unsuccessful attempts to key in the correct password. In such an event, you will need to go through the “[Forgot User Details/Password?](#)” or “[Physical User Reset Password?](#)” option available on www.evoting.nsdl.com to reset the password.
3. In case of any queries, you may refer the Frequently Asked Questions (FAQs) for Shareholders and e-voting user manual for Shareholders available at the download section of www.evoting.nsdl.com or call on toll free no.: 1800-222-990 or send a request at evoting@nsdl.co.in

Explanatory Statement pursuant to Section 102 of the Companies Act, 2013

As required by Section 102 of the Companies Act, 2013 ('Act') the following explanatory statement sets out the material facts relating to the business mentioned under item no 5 to 7 of the accompanying notice.

Item No.05**INCREASE IN AUTHORISED SHARE CAPITAL OF THE COMPANY AND CONSEQUENT ALTERATION IN THE MEMORANDUM OF ASSOCIATION OF THE COMPANY:**

The existing authorized capital of the company is Rs. 28,00,00,000 Crore and paid up equity capital is Rs. 27,90,24,000 Crore and therefore the company has unissued capital of Rs. 9,76,000 only. The management of the company is of the opinion that there should be some more unissued share capital as standby in the company to accommodate and future action on part of the company.

Therefore, the company propose to increased its Authorised capital of the company from Rs. 28,00,00,000 (Rupees Twenty Eight Crores only) divided into 2,80,00,000 (Two Crore and Eighty Lakhs) Equity Shares of face value of Rs.10/- each (Rupees Ten only) to Rs. 31,00,00,000 (Rupees Thirty One Crores only) divided into 3,10,00,000 (Three Crore and One Lakhs) Equity Shares of Rs.10/- each (Rupees Ten Only) by creation of additional 30,00,000 (Thirty Lakhs Only) Equity Shares of Rs.10/- each (Rupees Ten Only) ranking pari-passu in all respect with the existing Equity Shares of the Company.

Further, pursuant to Section 13, 61, 64 and other applicable provisions, if any, of the Companies Act, 2013 read with Companies (Share Capital and Debentures) Rules, 2014 (including any statutory modifications or re-enactment thereof for the time being in force) the abovesaid resolution is required to pass by majority of members as a special resolution.

None of the Directors and/or Key Managerial Personnel of the Company and their relatives is concerned or interested, financially or otherwise, in the resolution set out at Item No.5 of the accompanying Notice. Your Directors, accordingly, recommend this Ordinary Resolution at item no. 5 of the accompanying notice, for the approval of the members of the Company.

Item No 6**APPOINTMENT OF MR.RAJENDRA PRASAD RITOLIA AS AN INDEPENDENT DIRECTOR OF THE COMPANY**

Based on recommendation of Nomination and Remuneration Committee, the Board of Directors appointed Mr. Rajendra Prasad Ritolia (DIN 00119488), as Additional Director of the Company and also as Independent Directors, not liable to retire by rotation, for a term of 2 years i.e. from March 31, 2019, subject to approval of the Members. Further, Pursuant to the provisions of Section 161(1) of the Act and Articles of Association of the Company, additional Director shall hold office up to the date of this Annual General Meeting ("AGM") and are eligible to be appointed as Directors. The Company has, in terms of Section 160(1) of the Act, proposing his candidature for the office of Directors. The Company has received declaration from Mr.Rajendra Prasad Ritolia to the effect that he meet the criteria of independence as provided in Section 149(6) of the Act read with the Rules framed thereunder and Regulation 16(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"). In terms of Regulation 25(8) of SEBI Listing Regulations, he confirmed that he is not aware of any circumstance or situation which exists or may be reasonably anticipated that could impair or impact their ability to discharge his duties. In the opinion of the Board, each of these Directors fulfill the conditions specified in the Act, Rules and SEBI Listing Regulations for appointment as Independent Director and they are independent of the management of the Company.

The Brief profile of Mr. Rajendra Prasad Ritolia is as follow:-

Mr. Rajendra Prasad Ritolia, holds a graduate degree in Mining Engineering from ISM in 1969; First Class Mine Managers Certificate of Competency; Post Graduate Diploma in Management Association, New Delhi and also the recipient of National Scholarship as well as UN Scholarship for higher studies in Austria. During his extensive years of career in Coal Industries, he has held the positions of Former Chairman-cum-Managing Director of Central Coalfields Ltd., Ranchi, India and Former Managing Director, Tata Steel Ltd. He is recipient of several awards like Dewan Bahadur DD Thacker Gold Medal Award for outstanding contribution to Coal Mining Industry by MGMI and Indira Gandhi Memorial National Award 2007 for Best PSU Chief Executive, Award of Excellence by Indian Mine Managers' Association & Lifetime Achievement Award by National Institute of Personnel Management.

Item No 7**APPROVAL FOR SERVICE OF DOCUMENTS PURSUANT TO SECTION 20 OF THE COMPANIES ACT, 2013**

This is to inform to the members that pursuant to Section 20 of the Companies Act, 2013 and applicable provisions of the said Act, the company is required to serve a document to any member by sending it by ordinary Post or by Registered post or by Speed post or by Courier or by delivering at his office address or by such electronic or other mode as may be prescribed by him from time to time. It is further informed that a member can request for delivery of any document to him through a particular mode for which he shall pay such fees as may be determined by the company in its Annual General Meeting. Therefore, to enable the members to avail of this facility, it is necessary for the Company to determine the fees to be charged for delivery of a document in a particular mode, as mentioned in the resolution, as the Companies Act, 2013 requires the fees to be determined in the Annual General Meeting.

None of the Directors and/or Key Managerial Personnel of the Company and their relatives is concerned or interested, financially or otherwise, in the resolution set out at Item No.7 of the accompanying Notice. Your Directors, accordingly, recommend this Ordinary Resolution at item no. 7 of the accompanying notice, for the approval of the members of the Company.

**By order of the Board
For South West Pinnacle Exploration Limited**

Sd/-
Vikas Jain
Chairman & Managing Director
DIN: 00049217

Place : Gurugram

Date: 14th August, 2019

Annexure- A

Pursuant to Regulation 36(3) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, the brief profile of Directors eligible for re-appointment or appointment vide item no. 4 & 6 are as follows:

Name of Director	Mr. Roger James Lord	Mr. Rajendra Prasad Ritolia
Date of Birth	12/08/1947	08/07/1948
Relationship with Directors	N.A	N.A
Expertise in Specific Functional area	Expertise in Drilling Operations	Expertise in Mining Industry
Qualification	NSW Driller issued with Class License 6	Mining Engineering from ISM in 1969, First Class Mine Managers Certificate of Competency, Post Graduate Diploma in Management Association
Chairman/Member of the Committee of the Board of Directors as on March 31, 2019.	Nomination and Remuneration Committee	N.A
Number of shares held in the company as on March 31, 2019.	Nil	NIL

By order of the Board
For South West Pinnacle Exploration Limited

Sd/-
Vikas Jain
Chairman & Managing Director
DIN: 00049217

Place : Gurugram
Date: 14th August, 2019

SOUTH WEST PINNACLE EXPLORATION LIMITED

CIN: L13203HR2006PLC049480

Reg. Off: Siddhartha House, 4th floor, Plot No.6, Sector-44, Gurugram-122003

Phone No.: +91 124 4235400

Web: www.southwestpinnacle.com Email: investors@southwestpinnacle.com

ATTENDENCE SLIP

13th ANNUAL GENERAL MEETING-SEPTEMBER 14, 2019

Name of the Member(s) :

Registered address
of the Member(s) :

Member 's Folio/
DP ID-Client ID No. :
Number of Shares held :

I/We hereby record my/our presence at the 13th Annual General Meeting of the Company to be held on Saturday 14thSeptember, 2019 at 03:00 PM at The Palm Town & Country Club B-Block , Sushant Lok, Phase-1 Guguram, Haryana 122001.

.....
Name of Member /Proxy

.....
Signature of Member /Proxy

Notes:

1. Please complete the Folio /DP ID -Client ID No. and name, sign this Attendance Slip and hand over at the attendance Verification Counter at the entrance of the meeting hall.
2. Please read carefully the instructions given in the Notice of the 13th Annual General Meeting under the heading "Voting through electronic means".

PROXY FORM

SOUTH WEST PINNACLE EXPLORATION LTD

CIN : L13203HR2006PLC049480

Regd. Office : Siddhartha House, 4th Floor, Plot No. 6, Sector-44, Gurugram-122003 (HR)

Tel.: +91-11-124-4235400 | E-mail : secretarial@southwestpinnacle.com

Website : www.southwestpinnacle.com

13th ANNUAL GENERAL MEETING

Saturday, 14th September , 2019

[Pursuant to section 105(6) of Companies Act, 2013 and rule 19(3) of the Companies Management and Administration) Rule, 2014]

Name of the Member(s) : _____

Registered Address : _____

E-mail Id : _____

Folio No/DP ID-Client ID : _____

I/ We being the Member(s), holding _____ of the above named company, hereby appoint :

1	Name : Address : E-mail :	Signature
2	Name : Address : E-mail :	Signature
3	Name : Address : E-mail :	Signature

As my /our Proxy to attend and vote (on a poll) for me/us and on my/our behalf at the **13th Annual General Meeting** to be held on **Saturday, 14th day of September, 2019** at **3.00 p.m.** at **The Palms Town & Country Club, B-Block, Sushant Lok, Phase-1, Gurugram-122001(Haryana)**

Ordinary Business :

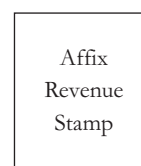
1. Adoption of Financial Statements for the year ended 31.03.2019.
2. To declare a Dividend for the financial year ended March 31, 2019
3. Appointment of Statutory Auditors & Fixing their Remuneration
4. Re-appointment of Mr. Roger James Lord who retires by rotation

Special Business:

5. Increase in Authorized Share Capital of the Company and Consequent Alteration in the Memorandum of Association of the Company.
6. Appointment of Mr. Rajendra Prasad Ritolia as an Independent Director of the Company
7. Approval for Service of Documents Pursuant to Section 20 of the Companies Act, 2013

Signed this _____ day of _____, 2019

(Signature of the Shareholder)



Sign Across Revenue Stamp

(Signature of the First Proxy Holder) (Signature of the Second Proxy Holder) (Signature of the Third Proxy Holder)

Note: This form in order to be effective should be duly completed and deposited at the Registered Office of the Company.

**Route Map of the Venue of 13th Annual General Meeting (AGM) of
M/s South West Pinnacle Exploration Limited**

