

CORPORATE GOVERNANCE REPORT FOR THE QUARTER ENDED DECEMBER 31, 2021

1. Name of Listed Entity - **South West Pinnacle Exploration Limited**
 2. Quarter ending - **31-Dec-2021**

i. Composition Of Board Of Director

T i t l e (M r . / M s)	Name of the Director	DIN	PAN	Cat ego ry (Ch air p er s o n / E x e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e)	S u b C a t e g o r y	Initial Date of Appoin tment	Date of Appoin tment	D a t e o f c e s s a t i o n	Tenu re	Date of Birth	Wh eth er s p e c i a l r e s o l u t i o n p a s s e d ?	Dat e o f p a s s i n g s p e c i a l r e s o l u t i o n	No. of Direc torshi p in listed entiti es inclu ding this listed entity	No of Inde pend ent Direc torshi p in listed entiti es inclu ding this listed entity	No of memb ersh ips in Audit / Stak ehold er Com mitte e(s) inclu ding this listed entity	No of post of Chai rper son in Audi t/ Stak ehol der Co mmi tee held in liste d entiti es inclu ding this liste d entit y	Memb ership in Com mittee s of the Comp any	Re mar ks
Mr.	VIKAS JAIN	0004	ACUPJ1235E	C,ED	M	27-Nov-	20-Nov-			24-Sep-	NA		1	0	2	0	AC,SC,N	

		9217			D	2006	2020			1974						RC	
Mr.	PIYUSH JAIN	0004 9319	ADIPJ8400G	ED	M D	20-Nov- 2009	20-Nov- 2020			17-Jan- 1982	NA	1	0	1	0	SC	
Mr.	ROGER JAMES LORD	0095 2295	ACGPL5708E	NED		09-Nov- 2020				12-Aug- 1947	NA	1	0	0	0	NA	
Mr s.	SUDHA MAHESHWARI	0678 4093	AKCPB2226R	ID		30-Oct- 2017	30-Oct- 2020	50		20-Aug- 1981	NA	1	1	1	0	AC,NRC	
Mr.	JITENDRA KUMAR MISHRA	0798 3426	AGBPM0509 M	ID		07-Nov- 2017	07-Nov- 2020	49.8		22-Aug- 1970	NA	2	2	0	4	AC,SC, NRC	
Mr.	RAJENDRA PRASAD RITOLIA	0011 9488	ACEPR0093C	ID		31-Mar- 2019	01-Apr- 2021	33		08-Jul- 1948	NA	1	1	1	0	NA	

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	SUDHA MAHESHWARI	ID	Member	20-Nov-2017	
2	VIKAS JAIN	C,ED	Member	20-Nov-2017	
3	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	PIYUSH JAIN	ED	Member	20-Nov-2017	
2	VIKAS JAIN	C,ED	Member	20-Nov-2017	
3	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	SUDHA MAHESHWARI	ID	Chairperson	21-Jul-2020	
2	VIKAS JAIN	C,ED	Member	21-Jul-2020	
3	JITENDRA KUMAR MISHRA	ID	Member	21-Jul-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. **Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
09-Aug-2021	07-Nov-2021	Yes	5	3
10-Sep-2021		Yes	4	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	57

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	09-Aug-2021	07-Nov-2021	Yes	3	2
Nomination & Remuneration Committee	09-Aug-2021	07-Nov-2021	Yes	3	2
Stakeholders Relationship Committee	09-Aug-2021	07-Nov-2021	Yes	3	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	89

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

For South West Pinnacle Exploration Limited

Vaishali

Company Secretary & Compliance Officer

