

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **South West Pinnacle Exploration Limited**
 2. Quarter ending - **30-Sep-2019**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	No. of Directorship in listed entities including this listed entity	No. of Independent Directorship in listed entities including this listed entity	No. of membership in Audit/Stakeholder Committee (s) including this listed entity	No. of post Chairperson/Audit/Stakeholder Committee held in listed entities including this listed entity	Memberships in Committees of the Company	Remarks
Mr.	VIKAS JAIN	00049217	ACUPJ1235E	C & ED	M D	27-Nov-2006	20-Nov-2017		36	24-Sep-1974	1	0	2	0	AC, SC	
Mr.	PIYUSH JAIN	00049319	ADIPJ84000	ED	M D	20-Nov-2009	20-Nov-2017		36	17-Jan-1982	1	0	1	0	SC	



M r.	ROGER JAMES LORD	0 0 9 5 2 2 9 5	G A C G P L 5 7 0 8 E	NE D	27- Nov- 2006				12- Au- g- 194 7	1	0	0	0	NRC	
M s.	SUDHA MAHES HWARI	0 6 7 8 4 0 9 3	A K C P B 2 2 6 R	ID	30- Oct- 2017	30- Oct- 2017		3 6	20- Au- g- 198 1	1	1	1	0	AC, NRC	
M r.	JITENDR A KUMAR MISHRA	0 7 9 8 3 4 2 6	A G B P M 0 5 0 9 M	ID	07- Nov- 2017	21- Nov- 2017		3 6	22- Au- g- 197 0	2	2	1	2	AC,S C,N RC	
M r.	RAJEND RA PRASAD RITOLIA	0 0 1 1 9 4 8 8	A C E P R 0 0 9 3 C	ID	31- Mar- 2019	14- Sep- 2019		2 4	08- Jul- 194 8	1	2	2	0	NA	Mr. Raje ndra Prasa d Ritol ia appoi nted as an addit ional Direc tor(I ndep ende nt) by the



No.				Date	
1	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	
2	SUDHA MAHESHWARI	ID	Member	20-Nov-2017	
3	VIKAS JAIN	C & ED	Member	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	
2	PIYUSH JAIN	ED	Member	20-Nov-2017	
3	VIKAS JAIN	C & ED	Member	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date

Company Remarks	
Whether Permanent chairperson appointed	

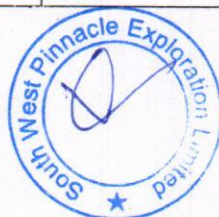
d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	ROGER JAMES LORD	NED	Member	20-Nov-2017	
2	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	
3	SUDHA MAHESHWARI	ID	Member	20-Nov-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
08-Apr-2019	14-Aug-2019	Yes	4	2
18-May-2019	14-Sep-2019	Yes	5	3
22-May-2019		Yes	5	3
13-Jun-2019		Yes	2	1
20-Jun-2019		Yes	2	1



Company Remarks	
Maximum gap between any two consecutive (in number of days)	54

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	22-May-2019	14-Aug-2019	Yes	2	1
Audit Committee	22-May-2019	14-Sep-2019	Yes	3	2
Nomination & Remuneration Committee	22-May-2019	14-Sep-2019	Yes	2	2
Stakeholders Relationship Committee	22-May-2019	14-Sep-2019	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	83

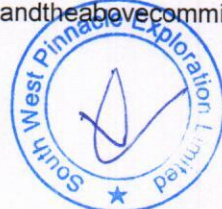
v. Related Party Transactions

Subject	Compliance status
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as spec



- ified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the reports submitted in the previous quarter has been placed before Board of Directors. - **Yes**
- b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : VAISHALI
 Designation : Company Secretary & Compliance Officer

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Not Applicable	

Note:

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Name : Vaishali
 Designation : Company Secretary & Compliance Officer

