

South West Pinnacle

ISO 9001 : 2015 Certified Company

South West Pinnacle Exploration Limited

(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

Regd. & Corp. Office:

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) :+ 91 124 4235400/01/03

(F) : + 91 124 4235402

(E) : info@southwestpinnacle.com

(W): www.southwestpinnacle.com

Date-30th September, 2020

To,
Manager,
Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai-400051

Symbol: SOUTHWEST

SUB: Voting results for the resolutions passed at the 14th Annual General Meeting held on Monday, September 28, 2020

Dear Sir/ Madam,

Pursuant to the Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the voting results declared for the resolutions passed at the 14th Annual General Meeting of the Company held on Monday, September 28, 2020.


- Date of Annual General Meeting: Monday, September 28, 2020, 03:00 P.M.
- Total number of shareholders on record Date: 874
 - No. of shareholders present in the meeting either in person or through proxy: **Not Applicable, the meeting was held through VC/OAVM.**
 -
 - Promoter & Promoter Group : NA
 - Public : NA
- No. of shareholders attended the meeting through Video Conferencing/OAVM
 - Promoter & Promoter Group : 3
 - Public : 23

The mode of Voting for all the resolutions was Remote e-voting and e-voting at the Annual General Meeting. The resolution wise combined Results of Remote e-voting and e-voting at the AGM are provided in Annexure-A. We are also enclosing the Consolidated Secrutinizer's Report on Remote e-voting and e-voting conducted at the AGM.

Kindly take the above on record and acknowledge the receipt.

Thanking you,
Yours faithfully,

For South West Pinnacle Exploration Limited


Vaishali

Company Secretary & Compliance Officer



Encl: As Above

ANNEXURE-A

Details for reporting as per Regulation 44(3) of SEBI (Listing Obligation and Disclosure Requirement) Requirement, 2015 based on result of E-voting/Poll

Agenda wise disclosure:

Item 1 – Ordinary Resolution (Ordinary Business)

Consideration and Adoption of Audited Financial Statement (Standalone & Consolidated) of the company for the financial year ended on 31st March, 2020 and reports of the Board of Directors and Auditors thereon.

Details for reporting as per Regulation 44(3) of SEBI(LODR)2015 based on result of E-voting/Poll									
Whether promoter/ promoter group are interested in the agenda/resolution : No									
S.No.	Promoter /Public	Mode of voting	No. of shares Held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes –in favour	No. of Votes – against	% of Votes in favour on votes polled	% of Votes against on votes polled
			[1]	[2]	[3]=[(2)/(1)]*100	[4]	[5]	[6]=[(4)/(2)]*100	[7]=[(5)/(2)]*100
1.	Promoter and Promoter Group	*E-voting	20836017	20836017	100	20836017	0	100	0
		Poll		0	0	0	0	0	0
		Sub Total	20836017		100	20836017	0	100	0
2.	Public– Institutional Holders	E-voting	0	0	0	0	0	0	0
		Poll		0	0	0	0	0	0
		Sub Total	0	0	0	0	0	0	0
3.	Public- Others	*E-voting	7066383	240582	3.40	239582	1000	99.58	0.42
		Poll		0	0	0	0	0	0
		Sub Total	7066383	240582	3.40	239582	1000	99.58	0.42
	Total		27902400	21076599	75.54	21075599	1000	99.9953	0.0047

*Note: The word E-voting includes remote e-voting and e-vote during the AGM.

Item 2 – Ordinary Resolution (Ordinary Business)

To declare a Dividend for the financial year ended March 31, 2020 to non promoter shareholders of the company of Rs. 0.50 per Equity Share of Rs. 10/- each, fully paid-up.

Details for reporting as per Regulation 44(3) of SEBI(LODR)2015 based on result of E-voting/Poll									
Whether promoter/ promoter group are interested in the agenda/resolution : No									
S.No.	Promoter /Public	Mode of voting	No. of shares Held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes –in favour	No. of Votes – against	% of Votes in favour on votes polled	% of Votes against on votes polled
			[1]	[2]	[3]=[2]/(1)]*100	[4]	[5]	[6]=[4]/(2)]*100	[7]=[5]/(2)]*100
1.	Promoter and Promoter Group	*E-voting	20836017	20836017	100	20836017	0	100	0
		Poll		0	0	0	0	0	0
		Sub Total	20836017		100	20836017	0	100	0
2.	Public-Institutional Holders	E-voting	0	0	0	0	0	0	0
		Poll		0	0	0	0	0	0
		Sub Total	0	0	0	0	0	0	0
3.	Public- Others	*E-voting	7066383	240582	3.40	239582	1000	99.58	0.42
		Poll		0	0	0	0	0	0
		Sub Total	7066383	240582	3.40	239582	1000	99.58	0.42
	Total		27902400	21076599	75.54	21075599	1000	99.9953	0.0047

*Note: The word E-voting includes remote e-voting and e-vote during the AGM.



Resolution 3 – Ordinary Resolution (Ordinary Business)

To resolve not to fill the vacancy for the time being in the Board, caused by the retirement of Mr. Roger James Lord (DIN:00952295) who retires by rotation at the conclusion of this meeting, but does not seek reappointment.

Details for reporting as per Regulation 44(3) of SEBI(LODR)2015 based on result of E-voting/Poll									
Whether promoter/ promoter group are interested in the agenda/resolution : No									
S.No.	Promoter /Public	Mode of voting	No. of shares Held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes –in favour	No. of Votes – against	% of Votes in favour on votes polled	% of Votes against on votes polled
			[1]	[2]	[3]=([2]/[1])*100	[4]	[5]	[6]=([4]/[2])*100	[7]=([5]/[2])*100
1.	Promoter and Promoter Group	*E-voting	20836017	20836017	100	20836017	0	100	0
		Poll		0	0	0	0	0	0
		Sub Total	20836017		100	20836017	0	100	0
2.	Public– Institutional Holders	E-voting	0	0	0	0	0	0	0
		Poll		0	0	0	0	0	0
		Sub Total	0	0	0	0	0	0	0
3.	Public– Others	*E-voting	7066383	240582	3.40	239582	1000	99.58	0.42
		Poll		0	0	0	0	0	0
		Sub Total	7066383	240582	3.40	239582	1000	99.58	0.42
	Total		27902400	21076599	75.54	21075599	1000	99.9953	0.0047

*Note: The word E-voting includes remote e-voting and e-vote during the AGM.



Resolution 4 – Special Resolution (Special Business)

Re-Appointment of Mr. Vikas Jain (DIN: 00049217) as Chairman & Managing Director of the Company.

Details for reporting as per Regulation 44(3) of SEBI(LODR)2015 based on result of E-voting/Poll									
Whether promoter/ promoter group are interested in the agenda/resolution : Yes									
S.No.	Promoter /Public	Mode of voting	No. of shares Held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes –in favour	No. of Votes – against	% of Votes in favour on votes polled	% of Votes against on votes polled
			[1]	[2]	[3]=[2]/(1)]*100	[4]	[5]	[6]=[4]/(2)]*100	[7]=[5]/(2)]*100
1.	Promoter and Promoter Group	*E-voting	20836017	20836017	100	20836017	0	100	0
		Poll		0	0	0	0	0	0
		Sub Total	20836017		100	20836017	0	100	0
2.	Public-Institutional Holders	E-voting	0	0	0	0	0	0	0
		Poll		0	0	0	0	0	0
		Sub Total	0	0	0	0	0	0	0
3.	Public-Others	*E-voting	7066383	240582	3.40	239582	1000	99.58	0.42
		Poll		0	0	0	0	0	0
		Sub Total	7066383	240582	3.40	239582	1000	99.58	0.42
	Total		27902400	21076599	75.54	21075599	1000	99.9953	0.0047

*Note: The word E-voting includes remote e-voting and e-vote during the AGM.



Resolution 5 – Special Resolution (Special Business)

Re-Appointment of Mr. Piyush Jain (DIN: 00049319) as Joint Managing Director of the Company.

Details for reporting as per Regulation 44(3) of SEBI(LODR)2015 based on result of E-voting/Poll									
Whether promoter/ promoter group are interested in the agenda/resolution : Yes									
S.No.	Promoter /Public	Mode of voting	No. of shares Held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes –in favour	No. of Votes – against	% of Votes in favour on votes polled	% of Votes against on votes polled
			[1]	[2]	[3]=[(2)/(1)]*100	[4]	[5]	[6]=[(4)/(2)]*100	[7]=[(5)/(2)]*100
1.	Promoter and Promoter Group	*E-voting	20836017	20836017	100	20836017	0	100	0
		Poll		0	0	0	0	0	0
		Sub Total	20836017		100	20836017	0	100	0
2.	Public– Institutional Holders	E-voting	0	0	0	0	0	0	0
		Poll		0	0	0	0	0	0
		Sub Total	0	0	0	0	0	0	0
3.	Public-Others	*E-voting	7066383	240582	3.40	239582	1000	99.58	0.42
		Poll		0	0	0	0	0	0
		Sub Total	7066383	240582	3.40	239582	1000	99.58	0.42
	Total		27902400	21076599	75.54	21075599	1000	99.9953	0.0047

*Note: The word E-voting includes remote e-voting and e-vote during the AGM.



Resolution 6 – Special Resolution (Special Business)

Re-Appointment of Mr. Jitendra Kumar Mishra (DIN: 07983426) as an Independent director of the Company

Details for reporting as per Regulation 44(3) of SEBI(LODR)2015 based on result of E-voting/Poll									
Whether promoter/ promoter group are interested in the agenda/resolution : No									
S.No.	Promoter /Public	Mode of voting	No. of shares Held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes –in favour	No. of Votes – against	% of Votes in favour on votes polled	% of Votes against on votes polled
			[1]	[2]	[3]=[2]/(1)]*100	[4]	[5]	[6]=[4]/(2)]*100	[7]=[5]/(2)]*100
1.	Promoter and Promoter Group	*E-voting	20836017	20836017	100	20836017	0	100	0
		Poll		0	0	0	0	0	0
		Sub Total	20836017		100	20836017	0	100	0
2.	Public– Institutional Holders	E-voting	0	0	0	0	0	0	0
		Poll		0	0	0	0	0	0
		Sub Total	0	0	0	0	0	0	0
3.	Public- Others	*E-voting	7066383	240582	3.40	239582	1000	99.58	0.42
		Poll		0	0	0	0	0	0
		Sub Total	7066383	240582	3.40	239582	1000	99.58	0.42
	Total		27902400	21076599	75.54	21075599	1000	99.9953	0.0047

*Note: The word E-voting includes remote e-voting and e-vote during the AGM.



Resolution 7 – Special Resolution (Special Business)

Re-Appointment of Mrs. Sudha Maheshwari (DIN: 06784093) as an Independent director of the Company

Details for reporting as per Regulation 44(3) of SEBI(LODR)2015 based on result of E-voting/Poll									
Whether promoter/ promoter group are interested in the agenda/resolution : No									
S.No.	Promoter /Public	Mode of voting	No. of shares Held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes –in favour	No. of Votes – against	% of Votes in favour on votes polled	% of Votes against on votes polled
			[1]	[2]	[3]=([2]/[1])*100	[4]	[5]	[6]=([4]/[2])*100	[7]=([5]/[2])*100
1.	Promoter and Promoter Group	*E-voting	20836017	20836017	100	20836017	0	100	0
		Poll		0	0	0	0	0	0
		Sub Total	20836017		100	20836017	0	100	0
2.	Public– Institutional Holders	E-voting	0	0	0	0	0	0	0
		Poll		0	0	0	0	0	0
		Sub Total	0	0	0	0	0	0	0
3.	Public– Others	*E-voting	7066383	240582	3.40	239582	1000	99.58	0.42
		Poll		0	0	0	0	0	0
		Sub Total	7066383	240582	3.40	239582	1000	99.58	0.42
	Total		27902400	21076599	75.54	21075599	1000	99.9953	0.0047

*Note: The word E-voting includes remote e-voting and e-vote during the AGM.

For South West Pinnacle Exploration Limited

Vaishali
Vaishali



Company Secretary & Compliance Officer



KKS & Associates

Company Secretaries

Tel. : 91-11-43012349

Mob.: 91-9811687001

E-mail ID : kksinghcs@gmail.com

kksandassociates@gmail.com

Website : www.kksandassociates.com

=====

SCRUTINIZER'S REPORT

To,
The Chairman
SOUTH WEST PINNACLE EXPLORATION LIMITED
Siddhartha House, 4th floor, Plot No.6,
Sector-44, Gurugram,
Haryana – 122003.

Dear Sir,

Sub:- Consolidated Scrutinizer report on e-voting conducted pursuant to the provision of section 108 of Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 as amended by Companies (Management and Administration) Amendment Rules, 2015 & Regulation 44 of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and E- voting at the 14th Annual General Meeting of M/s South West Pinnacle Exploration Limited held on Monday, September 28, 2020 at 03.00 P.M. through video conferencing ("VC")/Other audio visual Means ("OAVM").

I, Krishna Kumar Singh, a Company Secretary in Practice (Proprietor of M/s KKS & Associates), Company Secretaries, had been appointed as a scrutinizer by:

- i) the Board of Directors of M/s South West Pinnacle Exploration Limited (the Company) for the purpose of scrutinizing the e-voting process under the provisions of Section 108 of the Companies Act, 2013 (the 2013 Act) read with Rule 20 of the Companies (Management and Administration) Rules, 2014 (Rules) as amended by Companies (Management and Administration) Amendment Rules, 2015, & Regulation 44 of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, for e-voting conducted, in respect of below mentioned resolutions, as mentioned in the Notice to the 14th Annual General Meeting of South West Pinnacle Exploration Limited.
- (ii) I was also appointed as Scrutinizer to scrutinize the e-voting process at the said AGM (Insta e-voting)



held on Monday 28th September, 2020 at 03:00 P.M. through video conferencing ("VC")/Other audio visual Means ("OAVM"). The notice dated September 04, 2020, convening the AGM as confirmed by the Company was sent to the shareholders in respect of the below mentioned resolutions passed at the AGM of the Company through electronic mode to those Members whose email addresses are registered with the Company/ Depositories, in compliance with the MCA Circular dated May 5, 2020 read with circulars dated April 8, 2020 and April 13, 2020 (collectively referred to as "MCA Circulars") and SEBI Circular dated May 12, 2020. The Company had availed the services of National Securities Depository Limited ("NSDL") for conducting remote e-voting by the Shareholders of the Company and e-voting at the said AGM:

2. The management of the Company is responsible to ensure the compliance with the requirements of the Companies Act, 2013 and Rules relating to remote e-voting and e-voting at the AGM on the resolutions contained in the Notice to the 14th Annual General Meeting (AGM) of the members of the Company. My responsibility as a scrutinizer for the remote e-voting and e-voting at the AGM is restricted to make a Scrutinizer's Report of the votes cast 'in favor' or 'against' the resolutions stated above, based on the reports generated from the e-voting system provided by National Securities Depository Limited (NSDL), the authorized agency engaged by the Company to provide e-voting facilities for remote e-voting and e-voting at the AGM.
3. The shareholders of the company holding shares as on the "cut-off" date of September 21, 2020 were entitled to vote electronically on the Resolutions as contained in the Notice of the Annual General Meeting. The voting period for remote e-voting commenced on Friday, September 25, 2020 at 9.00 a.m. (IST) and ended on Sunday, September 27, 2020 at 5.00 p.m. (IST) and NSDL e-voting platform was blocked thereafter.
4. At the 14th AGM of the Company held on Monday, September 28, 2020 at 03.00 PM. through video conferencing ("VC")/Other audio visual Means ("OAVM"). The Company had also provided e-voting facility to the shareholders present at the AGM through VC/ OAVM and who had not cast their vote earlier.
5. After the closure of the votes cast under remote e-voting facility and e-voting during the AGM (Insta-e-voting), the same was unblocked.

I have scrutinized and reviewed the remote e-voting and e-voting during the AGM votes tendered therein based on the data downloaded from the NSDL e-voting system.

I now submit my consolidated Scrutinizer's Report on the result of the remote e-voting and e-voting at the meeting in respect of the said resolutions contained in the notice to the AGM, which is enclosed herewith as **Annexure-A**.



6. The votes were unblocked in the presence of two witnesses, Mr. Shyam Singh Negi and Mr. Suraj Sahoo who are not in the employment of the Company. They have signed below in confirmation of the votes being unblocked in their presence.

Signatures.....

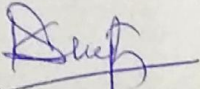
SHYAM SINGH NEGI
407, INDRA PRAKASH BUILDING
NEW DELHI - 110001

Signatures.....

SURAJ SAHOO
407, INDRA PRAKASH BUILDING
NEW DELHI - 110001

For KKS & Associates

Company Secretaries



Krishna Kumar Singh

Proprietor

M.no.-8493

C.PNo.-9760



Place : New Delhi
Dated : 30-September-2020
UDIN : F008493B000813814

I hereby submit herewith my Consolidated scrutinizer report on the results of remote e-voting together with the e-voting during the AGM as under:-

Item 1 – Ordinary Resolution (Ordinary Business)

Consideration and Adoption of Audited Financial Statements (Standalone & Consolidated) of the company for the financial year ended on 31st March, 2020 and reports of the Board of Directors and Auditors thereon.

Mode of Voting	Number of Members voted	Number of Vote Cast by them	Votes in favour of the Resolution		Votes against the Resolution		Invalid votes
			Nos.	% of total Number of valid votes cast in Favour of	Nos.	% of total number of valid votes cast in against	
*E-voting	41	21076599	21075599	99.9953	1000	0.0047	-
Total	41	21076599	21075599	99.9953	1000	0.0047	-

*Note: The word E-voting included both remote e-voting and e-voting during the AGM.

Based on the aforesaid results, we report that the Ordinary Resolution as contained in Item No. 1 of the Notice of the AGM dated 04th September, 2020 has been passed with requisite majority



Item 2 – Ordinary Resolution (Ordinary Business)

To declare a Dividend for the financial year ended March 31, 2020 to non promoter shareholders of the company of Rs. 0.50 per Equity Share of Rs. 10/- each, fully paid-up.

Mode of Voting	Number of Members voted	Number of Vote Cast by them	Votes in favour of the Resolution		Votes against the Resolution		Invalid votes
			Nos.	% of total Number of valid votes cast in Favour of	Nos.	% of total number of valid votes cast in against	Nos.
*E-voting	41	21076599	21075599	99.9953	1000	0.0047	-
Total	41	21076599	21075599	99.9953	1000	0.0047	-

*Note: The word E-voting included both remote e-voting and e-voting during the AGM.

Based on the aforesaid results, we report that the Ordinary Resolution as contained in Item No. 2 of the Notice of the AGM dated 04th September, 2020 has been **passed with requisite majority**




Item 3 – Ordinary Resolution (Ordinary Business)

To resolve not to fill the vacancy for the time being in the Board, caused by the retirement of Mr. Roger James Lord (DIN:00952295) who retires by rotation at the conclusion of this meeting, but does not seek reappointment.

Mode of Voting	Number of Members voted	Number of Vote Cast by them	Votes in favour of the Resolution		Votes against the Resolution		Invalid votes
			Nos.	% of total Number of valid votes cast in Favour of	Nos.	% of total number of valid votes cast in against	Nos.
*E-voting	41	21076599	21075599	99.9953	1000	0.0047	-
Total	41	21076599	21075599	99.9953	1000	0.0047	-

*Note: The word E-voting included both remote e-voting and e-voting during the AGM.

Based on the aforesaid results, we report that the Ordinary Resolution as contained in Item No. 3 of the Notice of the AGM dated 04th September, 2020 has been **passed with requisite majority**.



Item 4 – Special Resolution (Special Business)

Re-Appointment of Mr. Vikas Jain (DIN: 00049217) as Chairman & Managing Director of the Company.

Mode of Voting	Number of Members voted	Number of Vote Cast by them	Votes in favour of the Resolution		Votes against the Resolution		Invalid votes
			Nos.	% of total Number of valid votes cast in Favour of	Nos.	% of total number of valid votes cast in against	Nos.
*E-voting	41	21076599	21075599	99.9953	1000	0.0047	-
Total	41	21076599	21075599	99.9953	1000	0.0047	-

*Note: The word E-voting included both remote e-voting and e-voting during the AGM.

Based on the aforesaid results, we report that the Special Resolution as contained in Item No. 4 of the Notice of the AGM dated 04th September, 2020 has been passed with requisite majority




Item 5 – Special Resolution (Special Business)

Re-Appointment of Mr. Piyush Jain (DIN: 00049319) as Joint Managing Director of the Company

Mode of Voting	Number of Members voted	Number of Vote Cast by them	Votes in favour of the Resolution		Votes against the Resolution		Invalid votes
			Nos.	% of total Number of valid votes cast in Favour	Nos.	% of total number of valid votes cast in against	Nos.
*E-voting	41	21076599	21075599	99.9953	1000	0.0047	-
Total	41	21076599	21075599	99.9953	1000	0.0047	-

*Note: The word E-voting included both remote e-voting and e-voting during the AGM.

*Based on the aforesaid results, we report that the Special Resolution as contained in Item No. 5 of the Notice of the AGM dated 04th September, 2020 has been **passed with requisite majority***



Item 6 – Special Resolution (Special Business)

Re-Appointment of Mr. Jitendra Kumar Mishra (DIN: 07983426) as an Independent director of the Company

Mode of Voting	Number of Members voted	Number of Vote Cast by them	Votes in favour of the Resolution		Votes against the Resolution		Invalid Votes
			Nos.	% of total Number of valid votes cast in Favour of	Nos.	% of total number of valid votes cast in against	Nos.
*E-voting	41	21076599	21075599	99.9953	1000	0.0047	-
Total	41	21076599	21075599	99.9953	1000	0.0047	-

*Note: The word E-voting included both remote e-voting and e-voting during the AGM.

Based on the aforesaid results, we report that the Special Resolution as contained in Item No. 6 of the Notice of the AGM dated 04th September, 2020 has been **passed with requisite majority**



Item 7 – Special Resolution (Special Business)

Re-Appointment of Mrs. Sudha Maheshwari (DIN: 06784093) as an Independent director of the Company

Mode of Voting	Number of Members voted	Number of Vote Cast by them	Votes in favour of the Resolution		Votes against the Resolution		Invalid Votes
			Nos.	% of total Number of valid votes cast in Favour of	Nos.	% of total number of valid votes cast in against	
*E-voting	41	21076599	21075599	99.9953	1000	0.0047	-
Total	41	21076599	21075599	99.9953	1000	0.0047	-

*Note: The word E-voting included both remote e-voting and e-voting during the AGM.

Based on the aforesaid results, we report that the Special Resolution as contained in Item No. 7 of the Notice of the AGM dated 04th September, 2020 has been passed with requisite majority

For KKS & Associates

Company Secretaries

Krishna Kumar Singh

Proprietor

M.no.-F8493

C.PNo.-9760



Date: 30th September, 2020

Place: New Delhi