

# South West Pinnacle

ISO 9001 : 2015 Certified Company

**South West Pinnacle Exploration Limited**  
(formerly known as South West Pinnacle Exploration Pvt. Ltd.)

CIN No.: L13203HR2006PLC049480

**Regd. & Corp. Office:**

"Sidhartha House"

4th Floor, Plot No - 6, Sector-44,

Gurugram-122003

Haryana, INDIA

(T) : + 91 124 4235400/01/03

(F) : + 91 124 4235402

(E) : [info@southwestpinnacle.com](mailto:info@southwestpinnacle.com)

(W) : [www.southwestpinnacle.com](http://www.southwestpinnacle.com)

**Date: 03/04/2019**

To,

The Manager  
Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East), Mumbai-400051

**Scrip Code: SOUTHWEST SERIES: SM**

**Subject: Disclosure pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir,

This is to inform you that our company has received disclosure in Form C under Regulation 7 (2) (a) of SEBI (Prohibition of Insider Trading) Regulations, 2015 dated 02/04/2019 from Mr. Vikas Jain, Promoter & Managing Director & Mr. Piyush Jain Promoter & Joint Managing Director of the Company, for market purchase of equity shares of the company by them.

Therefore, pursuant to Regulation 7 (2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the copy of above said disclosures.

This is for your information and record.

Thanking you,  
Yours faithfully,

**For South West Pinnacle Exploration Limited**

*Vaishali*  
Vaishali

**Company Secretary & Compliance Officer**



**Date: 02/04/2019**

To,

The Compliance Officer  
South West Pinnacle Exploration Limited  
Siddhartha House, 4th floor,  
Plot No.6, Sector-44, Gurgaon 122003

**Scrip Code: SOUTHWEST Series: SM**

**Subject: Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

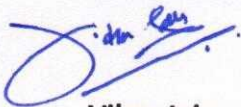
Dear Madam,

I wish to inform you that I have acquired 48,000 equity shares being 0.34% of total paid up equity share capital of South West Pinnacle Exploration Limited from 01/04/2019 to 02/04/2019.

In accordance with the provisions of Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,  
Yours faithfully,



**Vikas Jain**  
**Promoter & Managing Director**  
**DIN: 00049217**

**SEBI (Prohibition of Insider Trading ) Regulations, 2015**  
[Regulation 7(2) read with Regulation 6(2) - Continual Disclosure]

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED  
ISIN of the Company : INE980Y01015

**Details of Change in holding of Securities of Promoter , Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoters/KMP/Directors/Immediate relative to/others etc.)	Securities held prior to acquisition		Securities acquired						Securities held post acquisition		Date of acquisition of shares		Date of intimation to company	Mode of acquisition (on market/public rights/preferential offer/ off market/Inter-se transfer, ESOPs etc.)
		Type of security (For eg - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	Type of security (For eg - Shares, Warrants, Convertible Debentures etc.)	No.	Value (In Rs.)	Transaction Type (Buy/Sale/Pl edge/Revoked/Invoke)	Type of security (For eg - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	From	To				
1	2	3	4	5	6	7	8	9	10	11	12	13	14		
Mr. Vikas Jain, PAN No: ACUPJ1235E, DIN: 00049217, Address: A-4, Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	Promoter & Managing Director	Shares	No. of shares is 42,72,400 and percentage of shares is 30.62%	Shares	48,000	2585356.96	Buy	Shares	No. of shares is 43,20,400 and percentage of shares is 30.96%	01/04/2019	02/04/2019	02/04/2019	On market		

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading ) Regulations, 2015

Details of trading in derivatives of the company by Promoter, Employee or Director of a Listed company and other such persons as mentioned in Regulation 6 (2)

Type of Contract	Contract Specifications	Trading in derivatives (Specify type of contract, Futures or Options etc)				Exchange on which the trade was executed
		Notional Value	Buy Number of units (contracts * lot size)	Notional Value	Sell Number of units (contracts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.



Signature :  
Designation : Promoter & Managing Director  
(VIKAS JAIN)

Date : 02/04/2019  
Place : Gurugram

**Date: 02/04/2019**

To,

The Compliance Officer  
South West Pinnacle Exploration Limited  
Siddhartha House, 4th floor,  
Plot No.6, Sector-44, Gurgaon 122003

**Scrip Code: SOUTHWEST Series: SM**

**Subject: Disclosure under Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

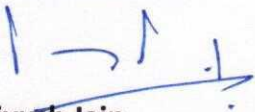
Dear Madam,

I wish to inform you that I have acquired 19,200 equity shares being 0.14% of total paid up equity share capital of South West Pinnacle Exploration Limited from 29/03/2019 to 01/04/2019.

In accordance with the provisions of Regulation 7 (2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015, I am enclosing herewith the requisite disclosure in Form C duly signed by me.

The above is for your information and doing the needful.

Thanking you,  
Yours faithfully,



**Piyush Jain**  
**Promoter & Joint Managing Director**  
**DIN: 00049319**

Name of the Company : SOUTH WEST PINNACLE EXPLORATION LIMITED  
 ISIN of the Company : INE980Y01015

**Details of Change in holding of Securities of Promoter , Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/DIN & Address with contact nos.	Category of Person (Promoters/KMP/Directors/Immediate relative to/others etc.)	Securities held prior to acquisition		Securities acquired			Securities held post acquisition		Date of acquisition of shares		Date of intimation to company	Mode of acquisition (on market/public rights/preferential offer/off market /Inter-se transfer, ESOPs etc.)	
		Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.	Value (In Rs.)	Transaction Type (Buy/Sale/PI edge/Revoked/Invoke)	Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No and % of shareholding	From			to
1		3	4	5	6	7	8	9	10	11	12	13	14
Mr. Piyush Jain, PAN No: ADIPJ8400G, DIN: 00049319 Address -A-4, Pushpanjali Farms, Bijwasan Delhi-110061, Contact No: 0124-4235400	Promoter & Joint Managing Director	Shares	No. of shares is 23,71,600 and percentage of shares is 17.00%	Shares	19,200	10,18,697.76	Buy	Shares	No. of shares is 23,90,800 and percentage of shares is 17.14%	29/03/2019	01/04/2019	02/04/2019	On market

Note: Securities shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015

**Details of trading in derivatives of the company by Promoter, Employee or Director of a Listed company and other such persons as mentioned in Regulation 6 (2)**

Trading in derivatives (Specify type of contract, Futures or Options etc)							Exchange on which the trade was executed
Type of Contract	Contract Specifications	Buy		Sell			
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)		
15	16	17	18	19	20	21	
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.



**Signature :** Prashant JAIN  
**Designation :** Promoter & Managing Director  
 (PIYUSH JAIN)

**Date :** 02/04/2019  
**Place :** Gurugram